528 Rec'd PCT/PTO 06 APR 2000

Practitioner's Docket No. <u>U 0125</u>93-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application

of Pavel Ivanovich LAZAREV; Sergei Vasilievich BELYAEV; Jury Alexandrovich BOBKOV Inventor(s)

for LIQUID CRYSTAL DISPLAY

offel

Title of invention

OR

In re application of:

Serial No.:

Filed: For:

Group No.:

Examiner:

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

"An information disclosure statement shall be considered by the Office if filed by the applicant: (1) within three NOTE:

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date April 6, 2000, in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number EL386268364US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

GERALDINE MARTI

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Reg. No. 20, 302

Julian H. Cohen
(type or print name of practitioner)

P.O. Address

Customer No.:

C/o Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

months of the filing date of a national application; (2) within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. 1.97(b).

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation iffiled in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PCT/RU98/00250

3 AUGUST 1998

4 AUGUST 1997

INT'L APPLICATION NO.

INT'L FILING DATE

PRIORITY DATE CLAIMED

LIQUID CRYSTAL DISPLAY

TITLE OF INVENTION

Pavel Ivanovich LAZAREV; Sergei Vasilievich BELYAEV; Jury Alexandrovich BOBKOV

APPLICANT(S)

Attorney Docket: U 012593-1

Assistant Commissioner for Patents Washington, D.C. 20231

ENT OF CCT 2000 INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached English language version of an International Search Report from a foreign office in respect of counterpart International Application No. RU/00250 that indicates the degree of relevance found by the foreign office.

CERTIFICATION UNDER 37 C.F.R. 1.10*

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GERALDINE MARTI

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Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Form PTO-1449 is also attached and identifies two more U.S. Patents with reference copies.

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JYLIAN H. COHEN LADAS & PARRY

26 WEST 61ST STREET NEW YORK, NY 10023

REG. NO: 20,302 (212) 708-1887

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U.S DEPARTMENT OF COMMERCE PATENT AND THE DEMARK OFFICE

ATTY. DOCKE O.	SERIAL NO.
U 012593-T	09/485,168

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Pavel Ivanovich LAZAREV, et al.

FILING DATE GROUP

REFER	ENCE DE	ESI	GN.	ΑТ	101	1	٠			U.S	S. PATENT DOC	UMENTS				
EXAMINER INITIAL			DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS		FILING DATE IF APPROPRIATE	
	AA		3	9	4	1	9	0	1	3/1976	Harsch	427	108			
	АВ		2	4	0	0	8	7	7	3/1941	J.F. Dreyer	88	65			
	AC		5	5	2	8	3	9	8	6/1996	Suzuki, et al.	359	68			
	AD		5	0	5	2	7	8	4	10/1991	Fergason	359	52			
-	AE		5	0	6	1	0	4	3	10/1991	Kwak	359	63			
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EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.